



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1752

Ifw

In re application of:

Group Art Unit: Unknown

Christoph Briehn et al.

Examiner: Unknown

Serial No.: 10/599,022

Filed: September 18, 2006

For: CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES

Attorney Docket No.: WAS 0806 PUSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

10/16/2006
Date of Deposit

William G. Conger
Name of Person Signing

Signature

S/N: 10/599,022


Atty Dkt No. WAS 0806 PUSA

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Christoph Briehn et al.

By: 
William G. Conger
Reg. No. 31,209
Attorney/Agent for Applicant

Date: 10/16/2006

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/599,022
Filing Date	09/18/2006
First Named Inventor	Christoph Briehn
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	WAS 0806 PUSA

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² -Number ⁴ -Kind Code ³ (if known)	MM-DD-YYYY			
/H.P./		DE 10200928 A	09/25/2003	BASF		
/H.P./		WO 2004/089961 A	10/21/2004	Consortium		

**Examiner
Signature**

/Hannah Pak/

Date
Considered

08/12/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/599,022		
		Filing Date	09/18/2006		
		First Named Inventor	Christoph Brieht		
		Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	WAS 0806 PUSA

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/H.P./		Patbase Abstract corresponding to DE-A 10200928	
/H.P./		Patbase Abstract corresponding to WO-A 2004/089961	
/H.P./		Macromol. Chem. Phys. 2003, 204, pages 375-383	
/H.P./		Altmanns et al. in Monatshefte für Chemie, Springer Verlag, Vienna - AT, vol.134, pages 1081 - 1092	

Examiner Signature	/Hannah Pak/	Date Considered	08/12/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
CHRISTOPH BRIEHN ET AL. :
Serial Number : Group Art Unit:
Filed: :
For: CURABLE COMPOSITION CONTAINING SURFACE-MODIFIED PARTICLES :
:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application and corresponding International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US-A 4,455,205 is already in English language.

US-A 4,491,508 is already in English language.

US-B 6,306,502 is already in English language.

For DE-A 10200928 an English Patbase Abstract is enclosed.

For WO-A 2004/089961 an English Patbase Abstract is enclosed.

Macromol. Chem Phys. 2003, 204, pages 375-383 is already in English language.

Altmanns et al.: "The hydrolysis/condensation behaviour of methacryloyloxyalkylfunctional alkoxysilanes: structure-reactivity relations", Monatshefte für Chemie, Springer Verlag, Vienna, AT, vol. 134, pages 1081 to 1092 is already in English language.

Signed this 22nd day of September, 2006.


.....
Dr. Helmut Fritz